

## Our Vision

To be a leading investor in the ESG space by aligning **socially responsible values** with investments that generate superior returns. To continually **strive for excellence** in service to clients and society. To encourage companies to take measured steps to improve their **ESG impact** on the world. To create a collaborative **open-minded** and **diverse** culture where employees are empowered by the opportunity for **meaningful work** and **self-improvement**.

## Our Dedication to ESG

Riverwater evaluates all companies in which we invest our clients' assets on the basis of their **environmental**, **social**, and **governance** (ESG) policies and practices, and willingness to **do more**, in an effort to generate positive outcomes for the world.



### Scored 144 out of 200

We achieved B Corp status in Sept '18 and became the first financial services B Corp in Wisconsin. Our score of 144, was considered outstanding and far exceeded the qualifying score of 80!

### As a signatory to the UN PRI, Riverwater can:

- Evaluate our progress
- Receive ongoing feedback and tools for improvement
- Understand overall state of the market
- Strengthen internal processes
- Report action to staff, clients, shareholders and regulators



## Committed

Our Mission Statement - To generate superior returns through **value(s) investing** - promises a commitment to environmental stewardship.

## Responsible

We minimize our **environmental footprint** by reducing waste and water use, recycling, composting and reducing emissions by limiting travel and conserving energy in our **energy-conscious** office space.

## Engaged

We engage with **local community** and **social/environmental** advocacy groups to further our mission. In 2018, Riverwater engaged with 9 companies to help effectuate change in their organization's ESG efforts.

## Accountable

We report to an **independent Advisory Board** and complete an **annual report** as a requirement to being signatories to the UNPRI.

## Involved

Our team gives back to the community by donating over **5% of our revenue**, **time**, and **professional expertise** to over a dozen different local and national nonprofits.

## Transparent

Riverwater is **inclusive** and **transparent** with employees about company status, strategic plan and financial condition. All employees receive **fair treatment** regarding pay, benefits, and professional development.