

Privacy Notice
Riverwater Partners LLC

Initially Adopted: January 2016

Last Reviewed/Revised: April 2026

This notice is reviewed annually and updated as required.

This is the Privacy Notice for Riverwater Partners LLC (“Riverwater” or the “Firm”). Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, disclose, and protect your personal information. Riverwater is committed to maintaining the privacy of our clients and former clients as set forth below. Please read this notice carefully to understand what we do.

Personal information we collect:

The types of personal information we collect will depend on the product and service you have with us, but can typically include:

- Social security number and/or tax identification number
- Name, address, email address, and telephone number
- Account numbers and financial account information
- Wire and banking instructions
- Investment objectives, risk tolerance, and financial profile
- Information received from custodians, other financial institutions, or third parties in connection with servicing your account

We collect this information directly from you, from your transactions with us, and from third parties such as custodians and other financial institutions involved in servicing your account.

Personal information we disclose:

Riverwater Partners does not disclose or sell information about our current or former clients to any third parties, except in the following circumstances:

- To companies that are necessary in order to service your account (such as custodians, technology providers, and other service providers operating under confidentiality obligations)
- As required by regulatory authorities or law enforcement officials who have jurisdiction over Riverwater Partners, or as otherwise required by law

Because Riverwater does not share your personal information with unaffiliated third parties for marketing or other non-essential purposes, we do not provide an opt-out mechanism. All sharing is limited to what is necessary to service your account or as required by law.

How we protect your personal information:

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include multi-factor authentication, data encryption, access controls, computer safeguards, secured files and buildings, employee training, and regular security assessments. We also require service providers who handle your information to maintain appropriate safeguards.

Data breach notification:

In the event of unauthorized access to your personal information that creates a risk of harm or inconvenience to you, we will notify you promptly and in accordance with applicable law, including the requirements of amended Regulation S-P. Our notification will describe the nature of the incident, the type of information affected, and the steps we are taking to address it.

Former clients:

The same privacy protections described in this notice apply to former clients. Riverwater retains client information for as long as required by applicable law and regulatory obligations, after which it is disposed of in a secure manner.

Contact us:

If you have any questions about this notice or our privacy practices, please contact us:

Riverwater Partners LLC

Attn: Chief Compliance Officer
1433 N. Water Street, Suite 303
Milwaukee, WI 53202
Phone: 414-858-8000